Supramolecular Approach in Energy Conversion Devices

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This review summarizes investigations carried out at the Laboratory of Photochemistry and Energy Conversion (LFCE) in the University of São Paulo dealing with design and characterization of ruthenium(II), rhenium(I) and iridium(III) polypyridine complexes with desired photochemical and photophysical properties in light of the development of optoelectronics and photoinduced energy conversion systems. First, the breakthroughs on molecular engineering of emissive Re\textsuperscript{I}, Ru\textsuperscript{II} and Ir\textsuperscript{III} complexes for the development of highly efficient light-emitting devices, such as organic light-emitting diodes (OLEDs) and light-emitting electrochemical cells (LECs), are presented. Then, the photochemical and photophysical properties of \(\text{fac-}[\text{Re(CO)}_3(\text{NN})(\text{trans-L})]^+\) complexes (NN = bidentate polypyridyl ligands and trans-L = stilbene-like ligand), which find use in molecular machines and photosensors, are discussed. Finally, dye-sensitized energy conversion devices based on Ru\textsuperscript{II} complexes and natural dyes, such as dye-sensitized solar cells (DSCs) and dye-sensitized photoelectrosynthesis cells (DSPECs), are reviewed, highlighting some strategies for photoanode engineering aiming at improved device efficiencies.

Keywords: supramolecular chemistry, energy conversion, molecular devices, solar fuels, dye-sensitized solar cells, OLEDs/LECs

1. Introduction

A supramolecular approach gives rise to the design of organized systems and can be conveniently exploited through metal complexes for the control of photoproperties and development of molecular devices through structurally organized and functionally integrated chemical systems.\textsuperscript{1-5} One of the strategies is the use of coordination compounds with proper ligands to pursue a high chemical stability and intense absorption in the visible\textsuperscript{6,7} with suitable redox properties for energy and/or electron transfer processes.\textsuperscript{8-12}

The Laboratory of Photochemistry and Energy Conversion (LFCE) at Chemistry Institute of the University of São Paulo has been carrying out investigations in photochemistry and photophysics of coordination compounds and supramolecular systems toward molecular design and devices fabrication.

The initial studies were focused on kinetics, electrochemistry and spectroscopy of cyanoferroate(II) complexes,\textsuperscript{13-17} with emphasis on the reactivity of the ground state. The extensive investigation on kinetics and mechanisms of formation and substitution of pentacyanoferroate(II) complexes, \([\text{Fe(CN)}_5L]^+\) (L = monodentate neutral ligand, such as NO or CO),\textsuperscript{13,18,19} was then extended to the reactivity of coordinated NO to the cyanoferrate moiety.\textsuperscript{14,19} These studies and the solid knowledge in the ground state spectroscopy, electrochemistry and reactivity of coordination compounds complexes were proven to be fundamental for exploitation of more complex chemical systems and application in several new areas. Subsequent investigation on the photoreactivity of these compounds came as a natural step. The photosubstitution of the L ligand in \([\text{Fe(CN)}_5L]^+\) was first investigated in...
the early 80s. 18, 20-24 The data for substitutionally inert [Fe(CN)\(_5\)]\(^{3-}\) with L = CO, AsPh\(_3\) (triphenylarsine), SbPh\(_3\) (triphenylantimony(III)), P(OCH\(_3\))\(_3\) (trimethyl phosphite), PPh\(_3\) (triphenylphosphine) and en (ethylenediamine) are shown in Table 1.

### Table 1. Quantum yields for the photosubstitution of L in [Fe(CN)\(_5\)]\(^{3-}\)

<table>
<thead>
<tr>
<th>Complex</th>
<th>(\lambda_{\text{irradiation}} / \text{nm})</th>
<th>(\Phi)</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Fe(CN)(_5)]CO(^{3-})</td>
<td>366</td>
<td>0.9</td>
<td>20</td>
</tr>
<tr>
<td>[Fe(CN)(_5)(AsPh(_3))](^{3-})</td>
<td>366</td>
<td>0.37</td>
<td>22, 23</td>
</tr>
<tr>
<td>[Fe(CN)(_5)(SbPh(_3))](^{3-})</td>
<td>366</td>
<td>0.15</td>
<td>22</td>
</tr>
<tr>
<td>[Fe(CN)(_5)(P(OMe)(_3))](^{3-})</td>
<td>366</td>
<td>0.14</td>
<td>22</td>
</tr>
<tr>
<td>[Fe(CN)(_5)(PPh(_3))](^{3-})</td>
<td>313</td>
<td>0.14</td>
<td></td>
</tr>
<tr>
<td>[Fe(CN)(_5)(en)](^{3-})</td>
<td>336</td>
<td>0.15</td>
<td>22</td>
</tr>
<tr>
<td>[Fe(CN)(_5)(en)](^{3-})</td>
<td>404</td>
<td>0.12</td>
<td></td>
</tr>
<tr>
<td>[Fe(CN)(_5)(en)](^{3-})</td>
<td>366</td>
<td>ca. 0.09</td>
<td>20</td>
</tr>
</tbody>
</table>

\(\lambda_{\text{irradiation}}\): irradiation wavelength; \(\Phi\): photochemical quantum yield; AsPh\(_3\): triphenylarsine; SbPh\(_3\): triphenylantimony(III); P(OMe)\(_3\): trimethyl phosphate; PPh\(_3\): triphenylphosphine; en: ethylenediamine.

The inertness of the photoproduct, [Fe(CN)\(_5\)(NN)]\(^{2-}\), in the presence of a large excess of the diamine ligand under continuous photolysis was the first example reported in the literature, 20 having a cyanide photolabilization as the reactive deactivation pathway. The neighboring effect in the excited state was exploited as a strategy by using the ability of the dangling free amino group to capture a lower coordinate intermediate to undergo subsequent ring closure after labilization of the Fe–CN bond, Figure 1. 20

![Figure 1. Neighboring effect and subsequent ring closure.](image)

The photochemistry of aqueous solutions of [Fe(CN)\(_5\)(en)]\(^{2-}\) in the presence of a large excess of the diamine ligand under continuous photolysis was the first example reported in the literature, 20 having a cyanide photolabilization as the reactive deactivation pathway. The neighboring effect in the excited state was exploited as a strategy by using the ability of the dangling free amino group to capture a lower coordinate intermediate to undergo subsequent ring closure after labilization of the Fe–CN bond, Figure 1. 20

An OLED is assembled in a supramolecular multilayer configuration of organic semiconductors with an active emissive film positioned in between p- and n-type charge transport layers (hole transport layer (HTL) and electron transfer layer (ETL)). Detailed mechanisms for the OLED’s operating principle has been reviewed extensively elsewhere 38-42 and Figure 2 shows a simplified view. When an external voltage is applied between the electrodes, holes are injected through the anode and electrons are injected through the cathode. 41 The holes/ electrons are transported through HTL/ETL to reach the active emissive layer and fill its highest occupied molecular orbital (HOMO)/lowest unoccupied molecular orbital (LUMO), respectively. 40, 41 Within the emissive layer, electrons and holes reach a recombination zone,
where both particles form a bound state called exciton. Excitons populate the lowest-lying excited state, from which a luminescent decay occurs, resulting in the emission of light from the device.42

Doping the organic emissive layer with a phosphorescent guest molecule (such as heavy-metal d6 coordination compounds) has been a successful strategy for increasing luminous efficiencies and controlling the emitted color, standing as the second generation of OLEDs.38,39,42-44 Under operation, excitons are generated in the organic layer, then posteriorly transferred to the guest complex excited state, from which a phosphorescent deactivation occurs.

Conversion of electricity into light can be also reached using LECs in a simpler architecture. These devices share a similar base structure with OLEDs, in which an emissive film is placed in between a metallic and a transparent electrode; however an active layer in LECs contains mobile ions, changing the operating mechanism and properties of the device.45-50 As summarized in Figure 3, application of bias causes the mobile charge carriers to drift within the active film towards the proper electrode with an opposite charge.51,52 The ion redistribution leads to a formation of double layers close to the electrodes. The double layers facilitate injection of electrons and holes into the emissive film when the applied bias is enough to overcome the HOMO-LUMO gap (or bandgap in the case of a semiconductor) of most usual emitters.44 After injection, electrons and holes produce excitons which then recombine resulting in luminescence.52

Figure 2. The multilayered architecture, the usual components and the operating mechanism of an OLED (simplified; more details in the main text).

Figure 3. The sandwich type architecture, the usual components and the operating mechanism of a LEC (simplified; more details in the main text).

Usually, efficient green- and red-light emission can be reached with these devices; even though obtaining suitable blue-light systems remains a challenge. The LFCE has been investigating numerous phosphorescent coordination compounds, mostly of RuII, ReI and IrIII for applications in light-emitting devices with focus on molecular engineered control of their color and emission quantum yields along with thorough photophysical elucidation.

2.1. Ruthenium(II) complexes

The emission of [Ru(NN)3]2+ complexes (NN = bidentate polypyridinic ligands, such as 2,2'-bipyridine (bpy), 1,10-phenanthroline (phen) and their derivatives) has been extensively investigated over the past 70 years with detailed photophysical elucidation discussed elsewhere and reviewed in several publications.53-58 The MLCTRu→NN state of [Ru(NN)3]2+ complexes is a strong oxidizing and a reducing agent59 and its phosphorescent deactivation to the singlet ground state occurs at room temperature in microseconds, with a broad non-structured spectrum and emission quantum yields from 10 to 0.1%39 and usually in the orange-red spectral region.60

Our initial investigation on light-emitting devices with ruthenium(II) polypyridinic complexes, Figure 4, to build single-layer LECs, Figure 5, by spin coating on indium tin oxide (ITO) substrate, had the ITO/Ru-1:PMMA/Al architecture (PMMA: poly(methyl methacrylate)).

Both the electroluminescence and photoluminescence spectra (and CIE coordinates) of Ru-1 and Ru-2 are similar
2.2. Rhenium(I) complexes

Tricarbonyl polypyridinic rhenium(I) complexes can find a great variety of applications in biological sensors,\textsuperscript{63-69} photocatalysis of CO\textsubscript{2} to CO,\textsuperscript{70,71} photosensitization of 1 O\textsubscript{2},\textsuperscript{72,73} polymer sensors\textsuperscript{74} and light-emitting devices.\textsuperscript{75-77}

Lifetimes as high as ten microseconds are observed in $\text{fac}^{-}[\text{Re}(\text{CO})_3(\text{NN})(\text{L})]^{0/+}$ complexes ($\text{NN} = \text{bidentate polypyridinic ligands}$ and $\text{L} = \text{halogenates, phosphines}$ and pyridine derivates),\textsuperscript{74,78-80} due to the strong spin-orbit coupling (SOC) exerted by the Re\textsuperscript{I} metal center (with an estimated SOC constant $\xi_{\text{Re}}$ between 700 and 900 cm$^{-1}$)\textsuperscript{81} facilitating an efficient population of the emissive $^3\text{MLCT}_{\text{Re} \rightarrow \text{NN}}$ excited state. The strong $\pi$ back-bonding between the carbonyl ligands and the metal center usually leads to a restricted-energy gap between Re\textsuperscript{I} d orbitals and $\pi^*$ ligand orbitals involved in the MLCT transition, therefore emission wavelengths lie predominantly in the green-yellow to orange-red spectral region.\textsuperscript{60}

The LFCE investigated photophysical behaviors of $\text{fac}^{-}[\text{Re}(\text{CO})_3(\text{NN})(\text{L})]^{0/+}$ complexes, Figure 6, and application in OLEDs.\textsuperscript{77,82,83} The emission of these complexes is ascribed to $^3\text{MLCT}_{\text{Re} \rightarrow \text{NN}}$ ($^3\text{MLCT}_{\text{Re} \rightarrow \text{bpy}}$, $^3\text{MLCT}_{\text{Re} \rightarrow \text{quin}}$ and $^3\text{MLCT}_{\text{Re} \rightarrow \text{isoquin}}$ for Re-1, Re-2 and Re-3, respectively) with
strong rigidochromic effects, highly sensitive to the media rigidity, as exemplified in Figure 7 for Re-1.83,84

The electroluminescence spectrum of OLED using Re-1 as a dopant in a thin film of polyvinylcarbazole (PVK), an organic semiconductor,83 is ascribed solely to the very intense 3MLCT emission of the guest complex at 580 nm, due to an efficient energy transfer from the host to the dopant.77,83 The electroluminescence spectrum of spin-coated films of PVK without Re-1 complex in the ITO/PEDOT:PSS/PVK/butyl-PBD/Al OLED (PEDOT: poly(3,4-ethylenedioxythiophene), PSS: poly(styrenesulfonate), butyl-PBD: 2-(4-biphenylyl)-5-(4-tert-butylphenyl)-1,3,4-oxadiazole, Al: aluminum) exhibits a characteristic blue emission, \( \lambda_{\text{max}} = 420 \text{ nm} \), assigned to the PVK excimer (CIE coordinates: 0.19, 0.12), Figure 8a, while ITO/PEDOT:PSS/PVK:Re-1/butyl-PBD/Al architecture device, resulted in an eye-observed apparent white emitter device (CIE coordinates: 0.42, 0.45), Figure 8b.

2.3. Iridium(III) complexes

The mer-[Ir(NC)2(LX)]+ complexes (NC = 2-phenylpyridine or similar bidentate ligands, organometallated to Ir through NC in a 5-membered metallocycle, and LX = diimines, picolinate, acetylacetone or similar bidentate ligands) usually present excellent thermal and photochemical stabilities with a variety of ligands and find applications in biological phosphorescent labels and sensors,85,86 photodynamic therapy,87,88 metallolopharmaecutics with antitumoral activities,89,90 dye-sensitized solar cells,91,92 and catalysis.93,94

These complexes exhibit microsecond-lived excited states with impressive emission quantum yields (close to 100%) as a consequence of iridium’s very-strong SOC (with estimated SOC constant \( \xi_{\text{Ir}} \) around 4430 cm\(^{-1} \)).95 They also undergo color and efficiency tuning through judicious molecular engineering by controlled changes in the ligands, allowing emission in all three primary colors—blue, green and red.44,96,97

The emission color can be judiciously tuned by addition of electron-donating or -withdrawing groups to one of the ligands, which, respectively, lead to destabilization or stabilization of the energies of the ligand orbitals.99 More specifically, modifications in NC ligands modulate the energy of HOMO while those in a LX ligand affect the energy of LUMO.100,101 These investigations started in 2010 and, since then, many complexes, Figure 9, had their photophysics detailly elucidated.39,102 The LFCE was one of the first groups to propose that the degree of SOC-induced mixings in \( T_1 \)’s excited-state character can also be rationally tuned towards enhancements in the radiative rate constant.95 By this approach, improved emission quantum yields can be reached, as thoroughly discussed and revised.44

The emission of complexes Ir-1 to Ir-4 varies systematically from blue-green to orange with variations in electron-donating or -withdrawing substituents on both the NN and the NC ligands, Figure 10.95
Time-dependent density functional theory (TD-DFT) calculations and Franck-Condon band shape analyses indicated a SOC-induced mixed MLCT/LCT character for the emission of Ir-1, which resulted in an emission quantum yield (\(\phi\)) = 96%, at least four times higher than that observed for the similar complex Ir-2, with \(\phi = 23\%\). Later, complex Ir-5 was synthesized to exhibit blue-sky emission using the strong electron-donating 3-methylpyridine-2-carboxylate (Mepic) ligand, coordinated to Ir\(^{III}\) through NO instead of NN.\(^{103}\) Ir-5 was inspired in the archetypal blue emitter FIrPic (see Figure 9),\(^{104}\) yet with an additional methyl group in the picolinate ligand to enhance the mixing character in T\(_1\), hence increasing \(\phi\) from 80% in FIrPic to 98% in Ir-5. On the other hand, changing the electron-donating methyl group to the electron-withdrawing CF\(_3\) group, as in the Ir-6 to Ir-8 series, increased the non-radiative rate constant in detriment to the radiative one, decreasing their \(\phi\) (< 13%).\(^{105}\)

The photophysical properties of the series Ir-9 to Ir-11, with 2-phenylquinoline as cyclometalated NC ligand, were also investigated.\(^{106}\) These complexes exhibit high \(\phi\) and high efficiency of singlet oxygen photosensitization, showing that they can find use in many applications, from the active layer of electroluminescent device\(^{43,44,48,50,52,91,97,98,107,108}\) to photosensitizers for photodynamic therapy and theranostics.\(^{88,90,109-111}\)

Complexes Ir-12 to Ir-14 have the ability to produce micelles combining the photophysical properties of Ir-2 to Ir-4.\(^{112}\) These complexes were mixed with an appropriate surfactant to result in micelles that served as templates for the synthesis of highly-emissive mesoporous silica host supramolecular materials.

LEC devices were fabricated by employing complexes...
Ir-1 and Ir-2 as emissive active layers, exhibiting green and yellow light, respectively, Figure 11.113-115 The FTO/PEDOT:PSS/Ir-2/Al device was sealed using a treatment developed by the LFCE,116 which allowed the optoelectronic characterization to be carried out in air, outside of a glovebox.114 A blue-emissive FTO/PEDOT:PSS/PVK:Ir-5/Al OLED was fabricated using Ir-5-doped PVK as the emissive layer.113 The PVK:Ir-5 films are subjected to an intense energy transfer from the polymer to the complex, resulting in a complete quenching of the PVK emission. These works are a successful proof of concept for feasible low-cost light-emitting devices with simple architectures and fabrication methods that require non-specific instruments.

3. Molecular Machines and Photosensors

3.1. Photochemical and photophysical properties of Re complexes

Those fac-[Re(CO)$_3$(NN)(L)]$^{2+}$ complexes mentioned in sub-section 2.2 are also effective in sensitizing the trans$\rightarrow$ cis photoisomerization of stilbene-like molecules (which can coordinate to Re$^+$ as a monodentate L ligand), Figure 12.6,79,117-142 This photochemical reaction is appealing for allowing molecular geometry control by means of light absorption,5,143 which can be conveniently exploited in molecular machines, gears and motors with yes-no or on-off logical responses for applications in sensors and biological systems, such as deoxyribonucleic acid (DNA) transcription144 and regulation of cations in membranes.145-147 The main advantage of using coordination complexes is to improve and/or sensitize the photoreaction to the visible region.6

Absorption of light is restricted to the UV region for the non-coordinated stilbene molecules while the coordination to the fac-[Re(CO)$_3$(NN)(L)]$^{2+}$ moiety overcomes this limitation, sensitizing the trans$\rightarrow$ cis photoisomerization process toward visible light.6,81,139 The photoisomerization mechanism of fac-[Re(CO)$_3$(NN)(trans-L)]$^+$ is not straightforward being subject of many investigations. It
depends not only on the L stilbene-like molecule but also on electronic interactions with the NN ligand and the Re\(^{1}\) center (spin-orbit coupling inducer).\(^{124,127,129,134,138,148}\) In summary, the absorption of light occurs through singlet excited states, either a ligand-centered \(^1\)LC\(_L\) transition (equivalent to the S\(_1\) state in the free organic molecule) in the UV region or a \(^1\)MLCT\(_{Re\rightarrow NN}\) toward visible. Then, the excited molecule relaxes (by intersystem crossings and/or internal conversions) to the lowest-lying triplet ligand centered state \(^3\)LC\(_L\) (equivalent to the T\(_1\) state in the free organic molecule), which is now less spin-forbidden due to the influence exerted by the Re\(^{1}\) core.

\(\text{trans} \rightarrow \text{cis}\) photoisomerization of fac-[Re(CO)\(_3\)(NN)(L)]\(^+\) can be properly monitored by UV-Vis and \(^1\)H nuclear magnetic resonance (NMR) spectral changes as a function of photolysis time, as exemplified in Figure 13. Upon irradiation, absorption spectral changes usually lead to well-defined isosbestic points, which indicate no competitive photoreactions.\(^{6,84,117,121,127,141,149,150}\) Also, \(^1\)H NMR signals for the trans-isomer decrease gradually, while new signals ascribed to the cis-isomer build up in intensity. It is noteworthy that the hydrogen coupling constant between \(H_x\) and \(H_y\) of the trans-isomer is \(J\) ca. 16 Hz\(^{121,123}\) while for \(H_x'\) and \(H_y'\) in the cis-isomer is \(J\) ca. 12 Hz,\(^{6}\) which confirm a successful photoisomerization.

Usually, trans- and cis-isomers absorb in the same region, Figure 13, thus the the photoisomerization quantum yield (\(\Phi\)) values determined by UV-Vis spectral change are just apparent. On the other hand, \(^1\)H NMR spectroscopy has been successfully proven by the LFCE\(^{121,151}\) to be an important tool to determine accurate quantum yields since the hydrogen signals for photoproducts and reactants appear in very distinct regions, Figure 13. As a consequence, quantum yields so determined are the true ones while those determined by variations in absorption spectra are apparent.

The LFCE has proven that \(^1\)H NMR spectroscopy is an important tool to determine accurate quantum yields, since the hydrogen signals for photoproducts and reactants appear in very distinct regions, Figure 13.\(^{6,84,141,150}\) The cis-isomer complex is usually emissive while the trans-isomer is non-emissive, Figure 14, and emission spectral changes can also monitor the photoisomerization process. The gradual increase in emission (ascribed to the lowest-lying \(^3\)MLCT\(_{cis-L(Re\rightarrow NN)}\) state) via trans\(\rightarrow\)cis

\[\text{trans} \rightarrow \text{cis}\]

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**Figure 13.** (a) Absorption (CH\(_3\)CN) and (b) \(^1\)H NMR (800 MHz, CD\(_3\)CN) spectral changes for Re-15 as a function of photolysis time (\(\lambda_{\text{radiation}} = 436\) nm, \(\Delta t = 30\) s, \(T = 298\) K).
Figure 14. (a) trans-to-cis photoisomerization reaction for Re-14 and (b) changes in its emission ($\lambda_{em} = 420$ nm) spectra as a function of photolysis time in acetonitrile ($\lambda_{irr} = 436$ nm, $\Delta t = 30$ s, $T = 298$ K). The inset graph in (b) exhibits the increase in the emission intensity at 620 nm as a function of photolysis time.

photoisomerization can be exploited in the development of optoelectronic devices for photosensors, emission on-off photoswitches and polymerization sensors.\textsuperscript{117,122,123,125,128,129}

Figure 15 and Table 2 show fac-[Re(CO)$_3$(NN)(trans-L)]$^+$ complexes investigated by the LFCE. The Re-4 to Re-7 bpe series (bpe = 1,2-bis(4-pyridyl)ethylene) typically exhibits higher trans-to-cis photoisomerization quantum yields ($\Phi_{\text{trans-cis}}$) than the non-coordinated ligand, independent on irradiation wavelengths.\textsuperscript{6,121,127,148,151-153} For example, for complex Re-4 the bpe ligand photoisomerizes under blue light irradiation with outstanding $\Phi_{\text{trans-cis}}$ ($\Phi_{313\text{ nm}} = 0.81 \pm 0.07$, $\Phi_{365\text{ nm}} = 0.80 \pm 0.07$ and $\Phi_{404\text{ nm}} = 0.77 \pm 0.09$),\textsuperscript{121,148} more efficient than the UV restricted non-coordinated bpe ($\Phi_{313\text{ nm}} = 0.26 \pm 0.04$).\textsuperscript{121}

Also, an increasing luminescence centered at 570 nm is observed at room temperature as the cis-isomer is formed.\textsuperscript{123,131}

The photoisomerization process was also observed for rhenium(I) binuclear complexes, in which bpe was used as a bridge photoisomerizable ligand attaching both bulk units. Similarly to mononuclear complexes, irradiation of Re-8 led to spectral changes with clear and well defined isosbestic points ascribed to the trans$\rightarrow$cis photoisomerization.\textsuperscript{153} On the other hand, no photoisomerization was observed for rhenium(II)-iron(II) (Re-9) and rhenium(II)-osmium(I) (Re-10) bimetallic complexes,\textsuperscript{126,154} due to the presence of the lower-lying $^3$MLCT state of iron ($^3$MLCT$_{\text{Fe-bpe}}$)\textsuperscript{154} or osmium ($^3$MLCT$_{\text{Os-bpe}}$)\textsuperscript{128} that quenches the photoisomerization channel.

Differently than the Re-4 to Re-7 bpe series, the Re-16 to Re-19 stpy series (stpy = 4-styrylpyridine) exhibit irradiation-wavelength dependent $\Phi_{\text{trans-cis}}$, suggesting different photoisomerization mechanisms, subject of several studies.\textsuperscript{81,133,134,138} The progress of these studies led to the fac-[Re(CO)$_3$(NN)(trans-stpyCN)]$^+$ series (Re-20-24) achieving the first Re$^+$ series to present a truly reversible (trans$\rightarrow$cis and cis$\rightarrow$trans) photoresponsive molecular motion.\textsuperscript{84,115,137,141,149} This impressive photoreversibility of fac-[Re(CO)$_3$(NN)(trans-stpyCN)]$^+$ opens new perspective for application in molecular machines as molecular motors and geometry regulators for switch on/off devices.

Re-11 and Re-15 complexes were engineered for device applications taking advantage of carboxylic acid as anchoring group. The photochemistry had been investigated both in fluid solution and adsorbed on TiO$_2$ surface with detection of photoisomerization for both complexes independent on the media. The Re-11 cis-photoproduct, emissive in solution, had its emission quenched in favor of electron injection into TiO$_2$, with increasing photocurrent as the concentration of cis-isomers increases.\textsuperscript{117} The breakthrough of Re-15 is the effective sensitization to the visible with photoisomerization occurring even at 436 nm; in particular, Re-15 exhibits characteristics for solar energy conversion devices.\textsuperscript{150} The undergoing investigation revealed that it adsorbs more efficiently on the TiO$_2$ surface. Furthermore, it is successfully photoisomerized under 436 nm irradiation, Figure 16. These systems exemplify their use as a proof of concept for molecular devices.

4. Dye-Sensitized Energy Conversion Devices

The abundant and potentially infinite energy generated by the sun is one of the most promising sources to supply and complement the world energy matrix,\textsuperscript{155,156} in special in Brazil, where the solar irradiation index is high practically
Figure 15. trans–cis L photoisomerizable rhenium(I) complexes investigated by the LFCE for photosensors and molecular machines.
throughout the whole year. Therefore, there is a great interest in developing devices capable of converting solar energy efficiently, as perspectives for sustainable and renewable sources of clean energy. The LFCE extended investigations on the solar energy field in 1995, with researches on DSCs emerged in collaboration with Prof Carlo A. Bignozzi.

### 4.1. Dye-sensitized solar cells

DSCs belong to the third generation of photovoltaics and their working mechanism makes use of supramolecular approaches for device concepts. Differently from other photovoltaic technologies, DSCs are photoelectrochemical cells in which light-to-electricity conversion occurs via dyes chemically adsorbed on the electrode surface and the separation of charge carriers is kinetically controlled by the chemical reaction involved.

The typical DSC is composed by a photoanode and a counter electrode in a sandwich-type arrangement, Figure 17. The photoanode consists in a glass substrate with a transparent conductive oxide (TCO, usually fluorine-doped tin oxide, FTO) on which a mesoporous thin film of a wide bandgap semiconductor oxide (commonly TiO$_2$) is deposited. Sensitizers (dyes, e.g., cis-[Re(CO)$_3$(NN)(trans-L)]$^+$, N3, or cis-[Ru(dcbH$_2$)$_2$(NCS)$_2$][TBA]$_2$, N719) are adsorbed

![Figure 16. trans-to-cis photoisomerization of Re-15 adsorbed on the TiO$_2$ surface (complex and nanoparticle are out of scale).](image-url)
on the semiconductor nanoparticles surface to absorb the visible light. The counter electrode consists of a TCO substrate with a thin layer of a catalyzer (usually transparent Pt film). A mediator electrolyte solution is inserted between both electrodes (usually, a concentrated $I^-/I^3^-$ solution) to complete the regenerative circuit.\textsuperscript{164,165} Under sun shining, the sensitizer dye (S) absorbs solar irradiation to result in excited state ($S^*$), which injects electrons to the semiconductor conduction band (CB). The oxidized sensitizer ($S^+$) is then reduced by the mediator $I^-$, regenerating S. Meanwhile, photoinjected electron percolate through the semiconductor film and is collected at the counter electrode, where a catalyzer reduces $I^3^-$ to $I^-$, closing the cycle.\textsuperscript{159,164} Therefore, in this regenerative electrochemical device, visible light is efficiently converted into electricity without any permanent chemical change. The highest efficiencies reported so far is around 14.3%\textsuperscript{166,167} and have proven to be commercially feasible and several products had been released.\textsuperscript{168,169} The LFCE developed several aspects related to the DSC technology, including the pathway from fundamental academic scientific knowledge acquired from research to technological innovation and intellectual protection by patents.\textsuperscript{170-175} These activities included the preparation of colloidal TiO$_2$ using distinct methods and different deposition techniques, such as spin-coating, painting and screen-printing.\textsuperscript{176,177} Homogeneous semiconductor films with controlled transparency had been obtained with the proper colloidal TiO$_2$ for automated process. Conducting plastic materials were also tested as the electrode substrate in association with a polymeric gel as an electrolyte medium resulting in wholly flexible solar cells. The electron transfer from the excited dye to semiconductor and the charge recombination/quenching processes were further investigated by transient UV-Vis absorption spectra on dye-sensitized TiO$_2$ films deposited onto glass substrates. A fast quenching of the oxidized complex in the presence of iodide emphasized the importance of a proper concentration of donor species in the redox mediator for the effective regeneration of the oxidized sensitizer.\textsuperscript{178,179} Molecular engineering of cis-[Ru(dcbH$_2$)$_2$LL'] compounds (dcbH$_2$ = 4,4'-dicarboxylic acid-2,2'-bipyridyl and LL' = ancillary ligands) that act as panchromatic charge transfer sensitizers of TiO$_2$ is still in continuous study, Figure 18. Our investigation efforts now progress toward device improvements through new synthetic and natural dyes. Ruthenium-based dyes are the most-commonly used sensitizers due to their intense (high molar absorptivity) and broad absorption bands in the visible region ascribed to the MLCT Ru$^{\rightarrow}$NN transition. They also present high chemical and thermal stability in both ground and oxidized states and have favorable redox potentials for electron injection into CB of TiO$_2$.\textsuperscript{180-182} The proper selection of ancillary ligands provides the suitable energetic control of the overall properties of these complexes. This approach was successfully applied to improve the incident photon-to-current efficiency (IPCE) and consequent the electron injection into TiO$_2$-CB sensitized by complexes Ru-3 to Ru-6. The incident photon-to-current efficiency obtained for Ru-3 (56%, Table 3) is higher than that for Ru-4 (ca. 40%).\textsuperscript{178,183,184} The Ru-3 complex, with two different ancillary ligands, presented a broader spectral response at longer wavelengths when compared to the bis-coordinated

\textbf{Figure 17.} Simplified working mechanism of DSCs (more details in the main text).
Figure 18. Ruthenium(II) complexes investigated by the LFCE as sensitizers in DSCs.

Table 3. Photoelectrochemical parameters for DSCs sensitized with the ruthenium(II) dyes investigated by the LFCE.

<table>
<thead>
<tr>
<th>Complex</th>
<th>$J_{sc}$ (mA cm$^{-2}$)</th>
<th>$V_{oc}$ (V)</th>
<th>$ff$</th>
<th>$\eta$ (%)</th>
<th>IPCE$_{max}$ (%)</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ru-3</td>
<td>56</td>
<td>178,183,184</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ru-4</td>
<td>ca. 40</td>
<td>178,183,184</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ru-5</td>
<td>ca. 60</td>
<td>185</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ru-6</td>
<td>ca. 60</td>
<td>184</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ru-10</td>
<td>8.0</td>
<td>0.66</td>
<td>0.51</td>
<td></td>
<td></td>
<td>178,183,184</td>
</tr>
<tr>
<td>Ru-11</td>
<td>3.5</td>
<td>0.58</td>
<td>0.46</td>
<td>0.95</td>
<td>25</td>
<td>186</td>
</tr>
<tr>
<td>Ru-12</td>
<td>6.6</td>
<td>0.67</td>
<td>0.65</td>
<td>2.82</td>
<td>50</td>
<td>186</td>
</tr>
</tbody>
</table>

$J_{sc}$: short-circuit photocurrent density; $V_{oc}$: open-circuit potential; $ff$: fill factor; $\eta$: photoconversion efficiency; IPCE: incident photon-to-current efficiency.
4-phenylpyridine (ppy) derivative Ru-4, showing an important role in the characteristic of the donor ligand in tuning photoelectrochemical properties. The Ru-6 complex exhibited higher IPCE (ca. 60%)\textsuperscript{184} when compared with its analogous ppy- (Ru-3 and 4) and isoquinoline-derivatives (Ru-5).\textsuperscript{185}

DSCs sensitized by Ru-10 exhibited a fair short-circuit photocurrent density ($J_{\text{SC}}$) of 8.00 mA cm$^{-2}$, open-circuit potential ($V_{\text{OC}}$) of 0.66 V and fill factor ($f_{\text{f}}$) of 0.51.\textsuperscript{182} Variations in photoelectrochemical parameters obtained for DSCs with Ru-11 and Ru-12 sensitizers were ascribed to the different properties of the ancillary ligands.\textsuperscript{186}

Synthetic dyes, such as coordination compounds and organic molecules, usually lead to the best photon conversion efficiencies in DSCs.\textsuperscript{182,187-189} However, the use of certain natural dyes as sensitizers is an advantageous environmental-friendly alternative, for their low toxicity, easy obtention and preparation of low-cost devices.\textsuperscript{190-196}

Natural dyes are obtained from different parts of plants, such as fruits, flowers, leaves and roots.\textsuperscript{197} Those used in DSCs usually present an intense blue/violet color ascribed to the presence of anthocyanins with anchoring groups to promote an effective adsorption on TiO$_2$. Figure 19, similarly to the Ru$^{II}$-based dyes.\textsuperscript{182} The LFCE investigated DSCs sensitized by many anthocyanin-based fruit-extract: blueberry (Vaccinium myrtillus Lam.),\textsuperscript{198,199} cabbage-palm fruit (Euterpe oleracea Mart.),\textsuperscript{200} calafate (Berberis buxifolia Lam.),\textsuperscript{201} chaste tree fruit (Solanum americanum Mill.),\textsuperscript{200} jaboticaba’s skin (Myrtus cauliflora Mart.),\textsuperscript{198,201,202} java plum (Eugenia jambolana Lam.),\textsuperscript{202,203} mulberry (Morus alba L.),\textsuperscript{198,200,202} pomegranate (Punica granatum)\textsuperscript{202} and raspberry (Rubus idaeus L.),\textsuperscript{199} as summarized in Figure 19.

The strategies exploited by the LFCE to enhance the efficiencies of DSCs sensitized by mulberry, jaboticaba’s skin, java plum and pomegranate have focused mainly in varying the extraction medium or pH control. For instance, DSCs sensitized with jaboticaba’s skin showed an improvement when water was substituted by ethanol as extraction medium, enhancing the device efficiency up to 115% ($\eta$ from 0.47 to 1.15%).\textsuperscript{201}

Adding pyridine in mediators for DSCs sensitized by anthocyanins resulted in a considerable $J_{\text{SC}}$ loss. For mulberry, for example, this led to a 79% decrease in photoconversion efficiency ($\eta$ from 4.31 to 0.92%).\textsuperscript{200} The current drop was due to a decrease in the medium pH, leading to deprotonation of anthocyanin and consequent desorption from the TiO$_2$ surface, as previously observed by Calogero et al.\textsuperscript{204} Therefore, pyridine-free electrolytes are more appropriate for anthocyanin-sensitized DSCs.

The stability of natural-dye-sensitized DSC was also investigated with mulberry, jaboticaba’s skin and blueberry.\textsuperscript{199,201} DSCs sensitized by mulberry exhibited constant photoelectrochemical parameters after 14 weeks of continuous evaluation, remaining stable even after 36 weeks with a fairly good efficiency when sealed under proper condition.\textsuperscript{198}

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{figure19.png}
\caption{Schematic adsorption of anthocyanin on TiO$_2$ and the fruits investigated by the LFCE.}
\end{figure}
4.2. Dye-sensitized photoelectrosynthesis cells

Dye-sensitized photoelectrosynthesis cells (DSPECs) use the concepts of DSCs for energy storage. They have been investigated toward the production of solar fuels inspired in natural photosynthesis and referred as artificial photosynthesis. DSPECs can have lower-cost production in comparison to other crystalline-semiconductor-based systems for photooxidation of water. Although a promising strategy, some parallel reactions in their interfaces decrease considerably their efficiency. Researches on this field are usually focused on new materials and understanding of these phenomena for decreasing electronic recombination from the mesoporous semiconductor to the oxidized dye and diminishing the time the photoinjected electrons take to reach the TCO substrate.

The operation mechanism of a DSPEC is similar to a DSC, in which a sensitizer molecule is excited to a higher-energy state that injects electrons in the conduction band of a semiconductor oxide (usually TiO₂), initiating a series of molecular and interfacial electron transfer processes to lead to the production of the photoproducts (solar fuels), with higher chemical energy content. The operation mechanism of a DSPEC is similar to a DSC, in which a sensitizer molecule is excited to a higher-energy state that injects electrons in the conduction band of a semiconductor oxide (usually TiO₂), initiating a series of molecular and interfacial electron transfer processes to lead to the production of the photoproducts (solar fuels), with higher chemical energy content.

The LFCE, in collaboration with Prof Thomas J. Meyer and his research group from the University of North Carolina at Chapel Hill, investigated reactivity toward water oxidation in a class of molecules whose properties can be systematically tuned by synthetic variations based on mechanistic insights. These molecules work as catalyst for the water oxidation driven either electrochemically or by Ce⁴⁺. The first two, [Ru(tpy)(bpm)(OH₂)]²⁺ and [Ru(tpy)(bpz)(OH₂)]²⁺ (bpm = 2,2’-bipyrimidine and tpy = 2,2’:6’,2’’-terpyridine), undergo hundreds of turnovers without decomposition using Ce⁴⁺ as oxidant. Detailed mechanistic studies and DFT calculations revealed a stepwise mechanism, addressed in our previous work.

In a brief summary, there is an initial 2e⁻/2H⁺ oxidation of the Ru⁴⁺-H₂O center leading to Ru⁵⁺=O²⁺ followed by oxidation to Ru⁶⁺=O⁵⁺. A nucleophilic attack by H₂O gives Ru³⁺=OOH⁶⁺ with further oxidation to Ru⁶⁺(O₂)⁷⁺ leading to oxygen loss followed by coordination of another water molecule, regenerating the initial Ru⁴⁺-H₂O center. An extended family of the catalyst series based on tpy and Mebimpy (Mebimpy = 2,6-bis(1-methylbenzimidazol-2-yl)pyridine) ligands shares a common mechanism. Interfacial dynamics at the derivatized TiO₂ (TiO₂–Ru²⁺) were also investigated under appropriate condition to water oxidation, by means of nanosecond laser flash photolysis.

The water oxidation catalyst [Ru(bda)(4-O(CH₂)₃P-(O₃H₂)₂-py)₂], (py = pyridine and bda = 2,2’-bipyridine-6,6’-dicarboxylate) in a series of chromophore-catalyst assemblies has been investigated as light-driven water splitter in DSPECs. Device performance for both coloaded and layer-by-layer assemblies with phosphonate-Zr⁴⁺ bridging on SnO₂/TiO₂ core-shell electrodes was evaluated by both photocurrent and direct O₂ measurements in collector-generator cells. The photoelectrodes displayed favorable photocurrents (0.72-1.5 mA cm⁻²) and Faradaic efficiencies for O₂ generation (71-97%), providing

Figure 20. Main components, device architecture and simplified mechanism of a DSPEC for light-driven water oxidation and proton reduction under operation (more details in the main text). PEM is a proton exchange membrane.
important mechanistic insights into the microscopic details for DSPEC water splitting.  

4.3. Photoanode engineering

DSCs based on nanocrystalline mesoporous semiconductors, in special TiO$_2$, have been extensively investigated since 1991 with continuous improvement. For high performance DSCs, the desired characteristics of mesoporous films are a high specific surface area with good porosity, long electron diffusion length and a pronounced light scattering effect.

One of approaches of photoanode engineering is integrating small nanocrystallites into one single bifunctional film with advantages of increased light scattering and dye-loading, by using mesoporous microspherical titania particles comprised of small nanocrystallites. The development of a screen-printable paste with improved morphological and optical characteristics for the automated deposition of submicrometer TiO$_2$ resulted in 32% enhanced conversion efficiency. Another successful approach is the photoanode engineering to minimize recombination effects by treating the TiO$_2$ or FTO surface with a thin cover layer of compact semiconductor oxide or even insulating oxide that efficiently blocks charge recombination decreasing power conversion efficiencies. This technique is based on the electrostatic interactions between oppositely charged materials; therefore a chosen substrate, as FTO, is immersed into cationic and anionic solutions (or suspensions) in a cyclic procedure as shown in Figure 21.

Our first approach using LbL assemblages started with TiO$_2$ nanoparticles as cations pairing with polyelectrolytes, such as sodium sulfonated polystyrene (PSS), sulfonated lignin (SL) and poly(acrylic acid) (PAA), as anions. The nature of polyelectrolyte had a key role on the efficiency of N3-sensitized DSCs, with the best performance achieved by the use of the TiO$_2$/PSS compact layer, that increased the overall efficiency of DSCs in 30%, Table 4. The lower thermal stability of PAA resulted in a more porous film and, therefore, unable to block the contact of electrolyte and the FTO substrate.

A nanostructured thin film consisted of TiO$_2$/ZnO bilayers from acid TiO$_2$ and basic ZnO had also been successfully applied as a blocking and contact layer. This film revealed a significant improvement in the $J_{SC}$ and $V_{OC}$, leading to a remarkable 67% improvement in the conversion efficiency of N3-sensitized DSCs.

The innovative ultrathin LbL all-nano-TiO$_2$ film as an interfacial layer created excellent adhesion of the TiO$_2$ layer on the FTO substrate leading to an efficient a compact layer obtainable by many deposition techniques, such as spray-pyrolysis, sputtering, dip-coating, spin-coating, atomic-layer deposition and layer-by-layer (LbL). In particular, the LbL technique is a low-cost procedure for a thin film deposition that offers rigorous control of morphology with possibility of scaling up and applications in different areas. This technique is based on the electrostatic interactions between oppositely charged materials; therefore a chosen substrate, as FTO, is immersed into cationic and anionic solutions (or suspensions) in a cyclic procedure as shown in Figure 21.

A nanostructured thin film consisted of TiO$_2$/ZnO bilayers from acid TiO$_2$ and basic ZnO had also been successfully applied as a blocking and contact layer. This film revealed a significant improvement in the $J_{SC}$ and $V_{OC}$, leading to a remarkable 67% improvement in the conversion efficiency of N3-sensitized DSCs.

The innovative ultrathin LbL all-nano-TiO$_2$ film as an interfacial layer created excellent adhesion of the TiO$_2$ layer on the FTO substrate leading to an efficient...
The LbL deposition of nano-TiO$_2$ compact layers on the underlying mesoporous oxide had been successfully employed in DSPECs leading to an impressive improvement of 53% for photocurrent with additional enhancements of sustainable currents over time photoysis with characteristics favoring O$_2$ evolution at the photoanode. This innovative approach in DSPECs with a significant impact on the device performance provided a suitable platform to decrease back electron reactions and/or improve the electron collection at the photoanode due to an improved insulation at the back contact, opening up new possibilities of gathering suitable molecular assemblies using a low-cost deposition method for thin films to enhance the performance of water-splitting devices.$^{246}$

5. Technological Innovation and Closing Remarks

The development within the framework of supramolecular chemistry gives rise to the possibility of designing organized systems and components of molecular level devices. This organization is particularly interesting for building molecular assemblies capable of performing useful functions, such as energy conversion/storage, information purpose and lightning-devices.

The multidisciplinary but fundamental research outlined herein resulted in innovation and technological developments, as well as proof of concept for several
applications, such as luminescence-based sensors and displays, photoresponsive polymers, visible sensitization of solar cells and photoelectrosynthesis devices.

Contacts with industries/companies led to the first trademark (Dye-Cell®) of the University of São Paulo in 2001 and some patents for intellectual protection. In this occasion, the project developed at the LFCE had been selected by the Brazilian Ministry of Science and Technology (MCT) as an innovative project/product in the energy sector, and took part during the whole year of 2002 in a series of events entitled “Exhibition of Innovative Technological Products for Energy Production”. In 2002, one of the patents received São Paulo State Governor honorable mention award. From 2006 to 2008, the development of dye-sensitized solar cells was supported by Petrobras/CNPES till the end of the company renewable program. During these 2 years, several prototypes had been assembled and the feasibility of the device proven.

The development of solar cells became the main subject of a special program called CIUPE (Interinstitutional Collaborative Program for Strategic Researches), which was supported by the University of São Paulo and attracted researchers from several areas in the development of photovoltaics and had provided encouragement for cooperative efforts among different laboratories.

Research on OLEDs and LECs focused on multicolor, highly-emissive complexes and new techniques for device fabrication. For this purpose, many phosphorescent coordination compounds have played a prominent role in several applications. Recent breakthroughs and the state of the art on highly-efficient emissive complexes elucidating the role of molecular and electronic structures to control photophysics in light-emitting systems had been reported in the pursuit of smart white-emitting devices.

A successful molecular engineering of coordination complexes and supramolecular assemblies in many applications of systems cited here is inspiring and illustrates the fascinating strategies to conceive and understand artificial photosensitive or highly emissive compounds. Future molecular design strategies must head beyond energy and color control.

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